

# Challenges in evaluating costs of known lattice attacks

Daniel J. Bernstein

Tanja Lange

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Based on attack survey from  
2019 Bernstein–Chuengsatiansup–  
Lange–van Vredendaal.

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Why analysis is important:

- Guide attack optimization.
- Guide attack selection.
- Evaluate crypto parameters.
- Evaluate crypto designs.
- Advise users on security.

## Three typical attack problems

Define  $\mathcal{R} = \mathbf{Z}[x]/(x^{761} - x - 1)$ ;  
“small” = all coeffs in  $\{-1, 0, 1\}$ ;  
 $w = 286$ ;  $q = 4591$ .

Attacker wants to find  
small weight- $w$  secret  $a \in \mathcal{R}$ .

Problem 1: Public  $G \in \mathcal{R}/q$  with  
 $aG + e = 0$ . Small secret  $e \in \mathcal{R}$ .

Problem 2: Public  $G \in \mathcal{R}/q$  and  
 $aG + e$ . Small secret  $e \in \mathcal{R}$ .

Problem 3: Public  $G_1, G_2 \in \mathcal{R}/q$ .  
Public  $aG_1 + e_1, aG_2 + e_2$ .  
Small secrets  $e_1, e_2 \in \mathcal{R}$ .

## Examples of target cryptosystems

Secret key: small  $a$ ; small  $e$ .

Public key reveals multiplier  $G$   
and approximation  $A = aG + e$ .

Public key for “NTRU”:

$G = -e/a$ , and  $A = 0$ .

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random  $G$ , and  $A = aG + e$ .

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$$G = -e/a, \text{ and } A = 0.$$

Public key for “Ring-LWE”:

random  $G$ , and  $A = aG + e$ .

Systematization of naming,

recognizing similarity + credits:

“NTRU”  $\Rightarrow$  Quotient NTRU.

“Ring-LWE”  $\Rightarrow$  Product NTRU.

Encryption for Quotient NTRU:

Input small  $b$ , small  $d$ .

Ciphertext:  $B = 3Gb + d$ .

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Encryption for Product NTRU:

Input encoded message  $M$ .

Randomly generate

small  $b$ , small  $d$ , small  $c$ .

Ciphertext:  $B = Gb + d$

and  $C = Ab + M + c$ .

Encryption for Quotient NTRU:

Input small  $b$ , small  $d$ .

Ciphertext:  $B = 3Gb + d$ .

Encryption for Product NTRU:

Input encoded message  $M$ .

Randomly generate

small  $b$ , small  $d$ , small  $c$ .

Ciphertext:  $B = Gb + d$

and  $C = Ab + M + c$ .

Next slides: survey of  $G, a, e, c, M$

details and variants in NISTPQC

submissions. Source: Bernstein,

“Comparing proofs of security

for lattice-based encryption”.



system	parameter set	type	set of multipliers
frodo	640	Product	$(\mathbf{Z}/32768)^{640 \times 640}$
frodo	976	Product	$(\mathbf{Z}/65536)^{976 \times 976}$
frodo	1344	Product	$(\mathbf{Z}/65536)^{1344 \times 1344}$
kyber	512	Product	$((\mathbf{Z}/3329)[x]/(x^{256} + 1))^{2 \times 2}$
kyber	768	Product	$((\mathbf{Z}/3329)[x]/(x^{256} + 1))^{3 \times 3}$
kyber	1024	Product	$((\mathbf{Z}/3329)[x]/(x^{256} + 1))^{4 \times 4}$
lac	128	Product	$(\mathbf{Z}/251)[x]/(x^{512} + 1)$
lac	192	Product	$(\mathbf{Z}/251)[x]/(x^{1024} + 1)$
lac	256	Product	$(\mathbf{Z}/251)[x]/(x^{1024} + 1)$
newhope	512	Product	$(\mathbf{Z}/12289)[x]/(x^{512} + 1)$
newhope	1024	Product	$(\mathbf{Z}/12289)[x]/(x^{1024} + 1)$
ntru	hps2048509	Quotient	$(\mathbf{Z}/2048)[x]/(x^{509} - 1)$
ntru	hps2048677	Quotient	$(\mathbf{Z}/2048)[x]/(x^{677} - 1)$
ntru	hps4096821	Quotient	$(\mathbf{Z}/4096)[x]/(x^{821} - 1)$
ntru	hrss701	Quotient	$(\mathbf{Z}/8192)[x]/(x^{701} - 1)$
ntrulpr	653	Product	$(\mathbf{Z}/4621)[x]/(x^{653} - x - 1)$
ntrulpr	761	Product	$(\mathbf{Z}/4591)[x]/(x^{761} - x - 1)$
ntrulpr	857	Product	$(\mathbf{Z}/5167)[x]/(x^{857} - x - 1)$
round5n1	1	Product	$(\mathbf{Z}/4096)^{636 \times 636}$
round5n1	3	Product	$(\mathbf{Z}/32768)^{876 \times 876}$
round5n1	5	Product	$(\mathbf{Z}/32768)^{1217 \times 1217}$
round5nd	1.0d	Product	$(\mathbf{Z}/8192)[x]/(x^{586} + \dots + 1)$
round5nd	3.0d	Product	$(\mathbf{Z}/4096)[x]/(x^{852} + \dots + 1)$
round5nd	5.0d	Product	$(\mathbf{Z}/8192)[x]/(x^{1170} + \dots + 1)$
round5nd	1.5d	Product	$(\mathbf{Z}/1024)[x]/(x^{509} - 1)$
round5nd	3.5d	Product	$(\mathbf{Z}/4096)[x]/(x^{757} - 1)$
round5nd	5.5d	Product	$(\mathbf{Z}/2048)[x]/(x^{947} - 1)$
saber	light	Product	$((\mathbf{Z}/8192)[x]/(x^{256} + 1))^{2 \times 2}$
saber	main	Product	$((\mathbf{Z}/8192)[x]/(x^{256} + 1))^{3 \times 3}$
saber	fire	Product	$((\mathbf{Z}/8192)[x]/(x^{256} + 1))^{4 \times 4}$
sntrup	653	Quotient	$(\mathbf{Z}/4621)[x]/(x^{653} - x - 1)$
sntrup	761	Quotient	$(\mathbf{Z}/4591)[x]/(x^{761} - x - 1)$
sntrup	857	Quotient	$(\mathbf{Z}/5167)[x]/(x^{857} - x - 1)$
threebears	baby	Product	$(\mathbf{Z}/(2^{3120} - 2^{1560} - 1))^{2 \times 2}$
threebears	mama	Product	$(\mathbf{Z}/(2^{3120} - 2^{1560} - 1))^{3 \times 3}$
threebears	papa	Product	$(\mathbf{Z}/(2^{3120} - 2^{1560} - 1))^{4 \times 4}$

## short element

- 
- $\mathbf{Z}^{640 \times 8}$ ;  $\{-12, \dots, 12\}$ ; Pr 1, 4, 17, ... (spec page 23)  
 $\mathbf{Z}^{976 \times 8}$ ;  $\{-10, \dots, 10\}$ ; Pr 1, 6, 29, ... (spec page 23)  
 $\mathbf{Z}^{1344 \times 8}$ ;  $\{-6, \dots, 6\}$ ; Pr 2, 40, 364, ... (spec page 23)  
 $(\mathbf{Z}[x]/(x^{256} + 1))^2$ ;  $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$   
 $(\mathbf{Z}[x]/(x^{256} + 1))^3$ ;  $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$   
 $(\mathbf{Z}[x]/(x^{256} + 1))^4$ ;  $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$   
 $\mathbf{Z}[x]/(x^{512} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 2, 1; weight 128, 128  
 $\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 6, 1; weight 128, 128  
 $\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 2, 1; weight 256, 256  
 $\mathbf{Z}[x]/(x^{512} + 1)$ ;  $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$   
 $\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$   
 $\mathbf{Z}[x]/(x^{509} - 1)$ ;  $\{-1, 0, 1\}$   
 $\mathbf{Z}[x]/(x^{677} - 1)$ ;  $\{-1, 0, 1\}$   
 $\mathbf{Z}[x]/(x^{821} - 1)$ ;  $\{-1, 0, 1\}$   
 $\mathbf{Z}[x]/(x^{701} - 1)$ ;  $\{-1, 0, 1\}$ ; key correlation  $\geq 0$   
 $\mathbf{Z}[x]/(x^{653} - x - 1)$ ;  $\{-1, 0, 1\}$ ; weight 252  
 $\mathbf{Z}[x]/(x^{761} - x - 1)$ ;  $\{-1, 0, 1\}$ ; weight 250  
 $\mathbf{Z}[x]/(x^{857} - x - 1)$ ;  $\{-1, 0, 1\}$ ; weight 281  
 $\mathbf{Z}^{636 \times 8}$ ;  $\{-1, 0, 1\}$ ; weight 57, 57  
 $\mathbf{Z}^{876 \times 8}$ ;  $\{-1, 0, 1\}$ ; weight 223, 223  
 $\mathbf{Z}^{1217 \times 8}$ ;  $\{-1, 0, 1\}$ ; weight 231, 231  
 $\mathbf{Z}[x]/(x^{586} + \dots + 1)$ ;  $\{-1, 0, 1\}$ ; weight 91, 91  
 $\mathbf{Z}[x]/(x^{852} + \dots + 1)$ ;  $\{-1, 0, 1\}$ ; weight 106, 106  
 $\mathbf{Z}[x]/(x^{1170} + \dots + 1)$ ;  $\{-1, 0, 1\}$ ; weight 111, 111  
 $\mathbf{Z}[x]/(x^{509} - 1)$ ;  $\{-1, 0, 1\}$ ; weight 68, 68; ending 0  
 $\mathbf{Z}[x]/(x^{757} - 1)$ ;  $\{-1, 0, 1\}$ ; weight 121, 121; ending 0  
 $\mathbf{Z}[x]/(x^{947} - 1)$ ;  $\{-1, 0, 1\}$ ; weight 194, 194; ending 0  
 $(\mathbf{Z}[x]/(x^{256} + 1))^2$ ;  $\sum_{0 \leq i < 10} \{-0.5, 0.5\}$   
 $(\mathbf{Z}[x]/(x^{256} + 1))^3$ ;  $\sum_{0 \leq i < 8} \{-0.5, 0.5\}$   
 $(\mathbf{Z}[x]/(x^{256} + 1))^4$ ;  $\sum_{0 \leq i < 6} \{-0.5, 0.5\}$   
 $\mathbf{Z}[x]/(x^{653} - x - 1)$ ;  $\{-1, 0, 1\}$ ; weight 288  
 $\mathbf{Z}[x]/(x^{761} - x - 1)$ ;  $\{-1, 0, 1\}$ ; weight 286  
 $\mathbf{Z}[x]/(x^{857} - x - 1)$ ;  $\{-1, 0, 1\}$ ; weight 322  
 $\mathbf{Z}^2$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-2, -1, 0, 1, 2\}$ ; Pr 1, 32, 62, 32, 1; \*  
 $\mathbf{Z}^3$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$ ; Pr 13, 38, 13; \*  
 $\mathbf{Z}^4$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$ ; Pr 5, 22, 5; \*

key offset (numerator or noise or rounding method)

$\mathbf{Z}^{640 \times 8}$ ;  $\{-12, \dots, 12\}$ ; Pr 1, 4, 17, ... (spec page 23)

$\mathbf{Z}^{976 \times 8}$ ;  $\{-10, \dots, 10\}$ ; Pr 1, 6, 29, ... (spec page 23)

$\mathbf{Z}^{1344 \times 8}$ ;  $\{-6, \dots, 6\}$ ; Pr 2, 40, 364, ... (spec page 23)

$(\mathbf{Z}[x]/(x^{256} + 1))^2$ ;  $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$(\mathbf{Z}[x]/(x^{256} + 1))^3$ ;  $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$(\mathbf{Z}[x]/(x^{256} + 1))^4$ ;  $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{512} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 2, 1; weight 128, 128

$\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 6, 1; weight 128, 128

$\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 2, 1; weight 256, 256

$\mathbf{Z}[x]/(x^{512} + 1)$ ;  $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{509} - 1)$ ;  $\{-1, 0, 1\}$ ; weight 127, 127

$\mathbf{Z}[x]/(x^{677} - 1)$ ;  $\{-1, 0, 1\}$ ; weight 127, 127

$\mathbf{Z}[x]/(x^{821} - 1)$ ;  $\{-1, 0, 1\}$ ; weight 255, 255

$\mathbf{Z}[x]/(x^{701} - 1)$ ;  $\{-1, 0, 1\}$ ; key correlation  $\geq 0$ ;  $\cdot(x - 1)$

round  $\{-2310, \dots, 2310\}$  to  $3\mathbf{Z}$

round  $\{-2295, \dots, 2295\}$  to  $3\mathbf{Z}$

round  $\{-2583, \dots, 2583\}$  to  $3\mathbf{Z}$

round  $\mathbf{Z}/4096$  to  $8\mathbf{Z}$

round  $\mathbf{Z}/32768$  to  $16\mathbf{Z}$

round  $\mathbf{Z}/32768$  to  $8\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $16\mathbf{Z}$

round  $\mathbf{Z}/4096$  to  $8\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $16\mathbf{Z}$

reduce mod  $x^{508} + \dots + 1$ ; round  $\mathbf{Z}/1024$  to  $8\mathbf{Z}$

reduce mod  $x^{756} + \dots + 1$ ; round  $\mathbf{Z}/4096$  to  $16\mathbf{Z}$

reduce mod  $x^{946} + \dots + 1$ ; round  $\mathbf{Z}/2048$  to  $8\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $8\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $8\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $8\mathbf{Z}$

$\mathbf{Z}[x]/(x^{653} - x - 1)$ ;  $\{-1, 0, 1\}$ ; invertible mod 3

$\mathbf{Z}[x]/(x^{761} - x - 1)$ ;  $\{-1, 0, 1\}$ ; invertible mod 3

$\mathbf{Z}[x]/(x^{857} - x - 1)$ ;  $\{-1, 0, 1\}$ ; invertible mod 3

$\mathbf{Z}^2$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-2, -1, 0, 1, 2\}$ ; Pr 1, 32, 62, 32, 1; \*

$\mathbf{Z}^3$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$ ; Pr 13, 38, 13; \*

$\mathbf{Z}^4$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$ ; Pr 5, 22, 5; \*

ciphertext offset (noise or rounding method)

$\mathbf{Z}^{8 \times 8}$ ;  $\{-12, \dots, 12\}$ ; Pr 1, 4, 17, ... (spec page 23)

$\mathbf{Z}^{8 \times 8}$ ;  $\{-10, \dots, 10\}$ ; Pr 1, 6, 29, ... (spec page 23)

$\mathbf{Z}^{8 \times 8}$ ;  $\{-6, \dots, 6\}$ ; Pr 2, 40, 364, ... (spec page 23)

$\mathbf{Z}[x]/(x^{256} + 1)$ ;  $\sum_{0 \leq i < 4} \{-0.5, 0.5\}$

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$\mathbf{Z}[x]/(x^{512} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 2, 1

$\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 6, 1

$\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\{-1, 0, 1\}$ ; Pr 1, 2, 1

$\mathbf{Z}[x]/(x^{512} + 1)$ ;  $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

$\mathbf{Z}[x]/(x^{1024} + 1)$ ;  $\sum_{0 \leq i < 16} \{-0.5, 0.5\}$

not applicable

not applicable

not applicable

not applicable

bottom 256 coeffs;  $z \mapsto \lfloor (114(z + 2156) + 16384)/32768 \rfloor$

bottom 256 coeffs;  $z \mapsto \lfloor (113(z + 2175) + 16384)/32768 \rfloor$

bottom 256 coeffs;  $z \mapsto \lfloor (101(z + 2433) + 16384)/32768 \rfloor$

round  $\mathbf{Z}/4096$  to  $64\mathbf{Z}$

round  $\mathbf{Z}/32768$  to  $512\mathbf{Z}$

round  $\mathbf{Z}/32768$  to  $64\mathbf{Z}$

bottom 128 coeffs; round  $\mathbf{Z}/8192$  to  $512\mathbf{Z}$

bottom 192 coeffs; round  $\mathbf{Z}/4096$  to  $128\mathbf{Z}$

bottom 256 coeffs; round  $\mathbf{Z}/8192$  to  $256\mathbf{Z}$

bottom 318 coeffs; round  $\mathbf{Z}/1024$  to  $64\mathbf{Z}$

bottom 410 coeffs; round  $\mathbf{Z}/4096$  to  $512\mathbf{Z}$

bottom 490 coeffs; round  $\mathbf{Z}/2048$  to  $64\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $1024\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $512\mathbf{Z}$

round  $\mathbf{Z}/8192$  to  $128\mathbf{Z}$

not applicable

not applicable

not applicable

$\mathbf{Z}$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-2, -1, 0, 1, 2\}$ ; Pr 1, 32, 62, 32, 1; \*

$\mathbf{Z}$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$ ; Pr 13, 38, 13; \*

$\mathbf{Z}$ ;  $\sum_{0 \leq i < 312} 2^{10i} \{-1, 0, 1\}$ ; Pr 5, 22, 5; \*

set of encoded messages

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$8 \times 8$  matrix over  $\{0, 8192, 16384, 24576\}$

$8 \times 8$  matrix over  $\{0, 8192, \dots, 57344\}$

$8 \times 8$  matrix over  $\{0, 4096, \dots, 61440\}$

$\sum_{0 \leq i < 256} \{0, 1665\}x^i$

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256-dim subcode (see spec) of  $\sum_{0 \leq i < 512} \{0, 126\}x^i$

256-dim subcode (see spec) of  $\sum_{0 \leq i < 1024} \{0, 126\}x^i$

256-dim subcode (see spec) of  $\sum_{0 \leq i < 1024} \{0, 126\}x^i$

$\sum_{0 \leq i < 256} \{0, 6145\}x^i (1 + x^{256})$

$\sum_{0 \leq i < 256} \{0, 6145\}x^i (1 + x^{256} + x^{512} + x^{768})$

not applicable

not applicable

not applicable

not applicable

$\sum_{0 \leq i < 256} \{0, 2310\}x^i$

$\sum_{0 \leq i < 256} \{0, 2295\}x^i$

$\sum_{0 \leq i < 256} \{0, 2583\}x^i$

$8 \times 8$  matrix over  $\{0, 1024, 2048, 3072\}$

$8 \times 8$  matrix over  $\{0, 4096, \dots, 28672\}$

$8 \times 8$  matrix over  $\{0, 2048, \dots, 30720\}$

$\sum_{0 \leq i < 128} \{0, 4096\}x^i$

$\sum_{0 \leq i < 192} \{0, 2048\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

128-dim subcode (see spec) of  $\sum_{0 \leq i < 318} \{0, 512\}x^i$

192-dim subcode (see spec) of  $\sum_{0 \leq i < 410} \{0, 2048\}x^i$

256-dim subcode (see spec) of  $\sum_{0 \leq i < 490} \{0, 1024\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

$\sum_{0 \leq i < 256} \{0, 4096\}x^i$

not applicable

not applicable

not applicable

256-dim subcode (see spec) of  $\sum_{0 \leq i < 274} \{0, 512\}2^{10i}$

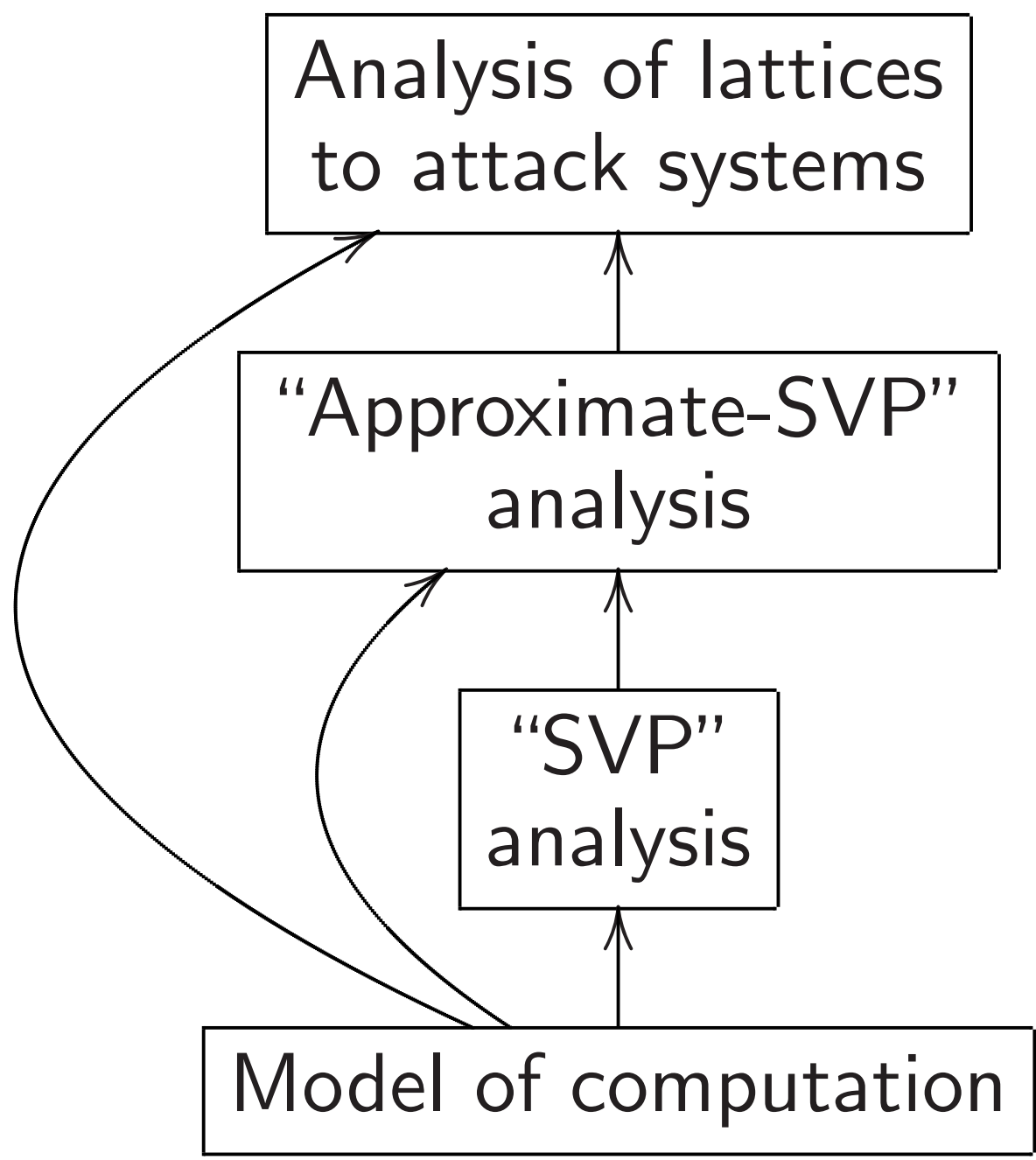
256-dim subcode (see spec) of  $\sum_{0 \leq i < 274} \{0, 512\}2^{10i}$

256-dim subcode (see spec) of  $\sum_{0 \leq i < 274} \{0, 512\}2^{10i}$

# Attacking these problems

Attack strategy with reputation of usually being best: “primal” strategy. Focus of this talk.

Normal layers in analysis:



## Models of computation

Multitape Turing machine: e.g.,  
sort  $N$  ints, each  $N^{o(1)}$  bits, in  
time  $N^{1+o(1)}$ , space  $N^{1+o(1)}$ .

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allows parallelism—e.g., sort in  
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PRAM: multiple inequivalent definitions, untethered to physical explanations. Sort in time  $N^{o(1)}$ .

Quantum computing:  
similar divergence of models.

## Lattices

Rewrite each problem as finding **short** nonzero solution to system of homogeneous  $\mathcal{R}/q$  equations.

Problem 1: Find  $(a, e) \in \mathcal{R}^2$   
with  $aG + e = 0$ , given  $G \in \mathcal{R}/q$ .

## Lattices

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Problem 2: Find  $(a, t, e) \in \mathcal{R}^3$   
with  $aG + e = At$ ,  
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## Lattices

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Problem 2: Find  $(a, t, e) \in \mathcal{R}^3$  with  $aG + e = At$ , given  $G, A \in \mathcal{R}/q$ .

Problem 3: Find  $(a, t_1, t_2, e_1, e_2) \in \mathcal{R}^5$  with  $aG_1 + e_1 = A_1 t_1$ ,  $aG_2 + e_2 = A_2 t_2$ , given  $G_1, A_1, G_2, A_2 \in \mathcal{R}/q$ .

Recognize each solution space as a full-rank lattice:

Problem 1: Lattice is image of the map  $(\bar{a}, \bar{r}) \mapsto (\bar{a}, q\bar{r} - \bar{a}G)$  from  $\mathcal{R}^2$  to  $\mathcal{R}^2$ .

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Problem 2: Lattice is image of the map  $(\bar{a}, \bar{t}, \bar{r}) \mapsto (\bar{a}, \bar{t}, A\bar{t} + q\bar{r} - \bar{a}G)$ .

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Problem 2: Lattice is image of the map  $(\bar{a}, \bar{t}, \bar{r}) \mapsto (\bar{a}, \bar{t}, A\bar{t} + q\bar{r} - \bar{a}G)$ .

Problem 3: Lattice is image of the map  $(\bar{a}, \bar{t}_1, \bar{t}_2, \bar{r}_1, \bar{r}_2) \mapsto (\bar{a}, \bar{t}_1, \bar{t}_2, A_1\bar{t}_1 + q\bar{r}_1 - \bar{a}G_1, A_2\bar{t}_2 + q\bar{r}_2 - \bar{a}G_2)$ .



## Module structure

Each of these lattices is an  $\mathcal{R}$ -module, and thus has, generically, many independent short vectors.

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e.g. in Problem 2:

Lattice has short  $(a, t, e)$ .

Lattice has short  $(xa, xt, xe)$ .

Lattice has short  $(x^2a, x^2t, x^2e)$ .

etc.

## Module structure

Each of these lattices is an  $\mathcal{R}$ -module, and thus has, generically, many independent short vectors.

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Many more lattice vectors are fairly short combinations of independent vectors:

e.g.,  $((x + 1)a, (x + 1)t, (x + 1)e)$ .

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Other problems: same speedup.

e.g. Problem 2: Force many coefficients of  $(a, t)$  to be 0.

Bai–Galbraith special case:

Force  $t = 1$ , and force

a few coefficients of  $a$  to be 0.

(Also slowdown if  $q$  is very large?)

## Standard analysis for Problem 1

Lattice has rank  $2 \cdot 761 = 1522$ .

Uniform random small weight- $w$   
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Attack parameter:  $k = 13$ .

Force  $k$  positions in  $a$  to be 0:  
restrict to sublattice of rank 1509.

$\Pr[a \text{ is in sublattice}] \approx 0.2\%$ .

Attacker is just as happy to find another solution such as  $(x_a, x_e)$ .

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Pretend this analysis applies to

$\mathbf{Z}[x]/(x^{761} - x - 1)$ . (It doesn't.)

Write equation  $e = qr - aG$   
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Attack parameter:  $m = 600$ .

Ignore  $761 - m = 161$  equations:  
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Projected sublattice rank

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Attack parameter:  $\lambda = 1.331876$ .

Rescaling: Assign weight  $\lambda$  to  
positions in  $a$ . Increases length  
of  $a$  to  $\lambda\sqrt{w} \approx 23$ ; increases det  
to  $\lambda^{748} q^{600}$ . (Is this  $\lambda$  optimal?  
Interaction with  $e$  size variation?)



## Lattice-basis reduction

Attack parameter:  $\beta = 525$ .

Use BKZ- $\beta$  algorithm to reduce lattice basis. (What about alternatives to BKZ?)

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(This  $\delta$  formula is an *asymptotic* claim without claimed error bounds. Does not match experiments for specific  $d$ .)

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Hence the attack finds  $(a, e)$ , assuming forcing worked. If it didn't, retry. (Are these tries independent? Should they use new parameters? Grover?)

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$0.292\beta$  (fake) cost for “sieving” is advertised as being below

$0.187\beta \log_2 \beta - 1.019\beta + 16.1$

(questionable extrapolation of experiments) for “enumeration”.

Note fragility of comparison.

$$S \leq 43 \Rightarrow E < S \text{ for}$$

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Need to get analyses right!

First step: include models

that account for memory cost.

sntrup761 evaluations from

“NTRU Prime: round 2” Table 2:

Ignoring hybrid attacks:

368	185	enum, free memory cost
368	185	enum, real memory cost
153	139	sieving, free memory cost
208	208	sieving, real memory cost

Including hybrid attacks:

230	169	enum, free memory cost
277	169	enum, real memory cost
153	139	sieving, free memory cost
208	180	sieving, real memory cost

Security levels:

...	pre-quantum
	...   post-quantum

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Represent  $a$  as  $a_1 + a_2$ . (What is the optimal  $a_1, a_2$  overlap?)

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e.g. Problem 1:  $aG$  small

so  $a_1G \approx -a_2G$ . (How fast are near-neighbor algorithms?)

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Use BKZ- $\beta$  to find short  $B$  with  $\{(w, wL + qr)\} = \{zB\}$ .

Now  $\{(v, w, vK + wL + qr)\} = \{(v, v(0, K) + zB)\}$ .



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For each  $v$ : Quickly find  $z$  with  $zB \approx -v(0, K)$ . Check whether  $(v, v(0, K) + zB)$  is short enough.

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Common claim: This saves time only for sufficiently narrow  $\{a\}$ .

(Is this true, or a calculation error in existing algorithm analyses?)