

# Code-based cryptography II

## Niederreiter system and schoolbook attacks

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SAC – Post-quantum cryptography

## Systematic form

- A **systematic generator matrix** is a generator matrix of the form  $(I_k|Q)$  where  $I_k$  is the  $k \times k$  identity matrix and  $Q$  is a  $k \times (n - k)$  matrix (**redundant part**).
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Then

$$H(\mathbf{m}G)^T = HG^T\mathbf{m}^T = (Q^T|I_{n-k})(I_k|Q)^T\mathbf{m}^T = 0.$$

- Can reduce storage / transmission bandwidth by leaving out the identity matrix part. E.g. for the parity-check matrix:

$$H = \begin{pmatrix} 1 & 1 & 0 & 1 & 1 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 0 & 1 \end{pmatrix} \rightsquigarrow \begin{pmatrix} 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{pmatrix}$$

Any use of  $H$  just includes the matrix in the computations.

# Different views on decoding

- The **syndrome** of  $\mathbf{x} \in \mathbb{F}_2^n$  is  $\mathbf{s} = H\mathbf{x}$ .  
Note  $H\mathbf{x} = H(\mathbf{c} + \mathbf{e}) = H\mathbf{c} + H\mathbf{e} = H\mathbf{e}$  depends only on  $\mathbf{e}$ .
- The **syndrome decoding problem** is to compute  $\mathbf{e} \in \mathbb{F}_2^n$  given  $\mathbf{s} \in \mathbb{F}_2^{n-k}$  so that  $H\mathbf{e} = \mathbf{s}$  and  $\mathbf{e}$  has minimal weight.
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- Syndrome decoding and (regular) decoding are equivalent:  
To decode  $\mathbf{x}$  with syndrome decoder, compute  $\mathbf{e}$  from  $H\mathbf{x}$ , then  $\mathbf{c} = \mathbf{x} + \mathbf{e}$ .  
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To expand syndrome, assume  $H = (Q^T | I_{n-k})$ .  
Then  $\mathbf{x} = (00 \dots 0) || \mathbf{s}$  satisfies  $\mathbf{s} = H\mathbf{x}$ .
- Note that this  $\mathbf{x}$  is not a solution to the syndrome decoding problem, unless it has very low weight.

# The Niederreiter cryptosystem I

Developed in 1986 by Harald Niederreiter as a variant of the McEliece cryptosystem. This is the schoolbook version.

- Use  $n \times n$  permutation matrix  $P$  and  $(n - k) \times (n - k)$  invertible matrix  $S$ .
- Public Key: a scrambled parity-check matrix  $K = SHP \in \mathbb{F}_2^{(n-k) \times n}$ .
- Encryption: The plaintext  $\mathbf{e}$  is an  $n$ -bit vector of weight  $t$ . The ciphertext  $\mathbf{s}$  is the  $(n - k)$ -bit vector

$$\mathbf{s} = K\mathbf{e}.$$

- Decryption: Find a  $n$ -bit vector  $\mathbf{e}$  with  $\text{wt}(\mathbf{e}) = t$  such that  $\mathbf{s} = K\mathbf{e}$ .
- The passive attacker is facing a  $t$ -error correcting problem for the public key, which seems to be random.



# The Niederreiter cryptosystem II

- Public Key: a scrambled parity-check matrix  $K = SHP$ .
- Encryption: The plaintext  $\mathbf{e}$  is an  $n$ -bit vector of weight  $t$ . The ciphertext  $\mathbf{s}$  is the  $(n - k)$ -bit vector

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- Decryption using secret key: Compute

$$\begin{aligned} S^{-1}\mathbf{s} &= S^{-1}K\mathbf{e} = S^{-1}(SHP)\mathbf{e} \\ &= H(P\mathbf{e}) \end{aligned}$$

and observe that  $\text{wt}(P\mathbf{e}) = t$ , because  $P$  permutes.

Use efficient syndrome decoder for  $H$  to find  $\mathbf{e}' = P\mathbf{e}$  and thus  $\mathbf{e} = P^{-1}\mathbf{e}'$ .

## Note on codes

- McEliece proposed to use binary Goppa codes.  
These are still used today.
- Niederreiter described his scheme using Reed-Solomon codes.  
These were broken in 1992 by Sidelnikov and Chestakov.
- More corpses on the way: concatenated codes, Reed-Muller codes, several Algebraic Geometry (AG) codes, Gabidulin codes, several LDPC codes, cyclic codes.
- Some other constructions look OK (for now).  
NIST competition has several entries on QCMDPC codes.

Do not use the schoolbook versions!

# Sloppy Alice attacks! 1998 Verheul, Doumen, van Tilborg

- Assume that the decoding algorithm decodes up to  $t$  errors, i. e. it decodes  $\mathbf{y} = \mathbf{c} + \mathbf{e}$  to  $\mathbf{c}$  if  $\text{wt}(\mathbf{e}) \leq t$ .
- Eve intercepts ciphertext  $\mathbf{y} = \mathbf{m}G' + \mathbf{e}$ .  
Eve poses as Alice towards Bob and sends him tweaks of  $\mathbf{y}$ .  
She uses Bob's reactions (success of failure to decrypt) to recover  $\mathbf{m}$ .
- Assume  $\text{wt}(\mathbf{e}) = t$ . (Else flip more bits till Bob fails).
- Eve sends  $\mathbf{y}_i = \mathbf{y} + \mathbf{e}_i$  for  $\mathbf{e}_i$  the  $i$ -th unit vector.  
If Bob returns error, position  $i$  in  $\mathbf{e}$  is 0 (so the number of errors has increased to  $t + 1$  and Bob fails).  
Else position  $i$  in  $\mathbf{e}$  is 1.
- After  $k$  steps Eve knows the first  $k$  positions of  $\mathbf{m}G'$  without error.  
Invert the  $k \times k$  submatrix of  $G'$  to get  $\mathbf{m}$

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Invert the  $k \times k$  submatrix of  $G'$  to get  $\mathbf{m}$  assuming it is invertible.
- Proper attack: figure out invertible submatrix of  $G'$  at beginning;  
recover matching  $k$  coordinates.

## More on sloppy Alice

- This attack has Eve send Bob variations of the same ciphertext; so Bob will think that Alice is sloppy.
- Note, this is more complicated if  $\mathbb{F}_q$  instead of  $\mathbb{F}_2$  is used.
- Other name: reaction attack.  
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- Attack also works on Niederreiter version:  
Bitflip corresponds to sending  $\mathbf{s}_i = \mathbf{s} + K_i$ ,  
where  $K_i$  is the  $i$ -th column of  $K$ .
- More involved but doable (for McEliece and Niederreiter)  
if decryption requires exactly  $t$  errors.

## Berson's attack

- Eve knows  $\mathbf{y}_1 = \mathbf{m}G' + \mathbf{e}_1$  and  $\mathbf{y}_2 = \mathbf{m}G' + \mathbf{e}_2$ ; these have the same  $\mathbf{m}$ .



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- Then  $\mathbf{y}_1 + \mathbf{y}_2 = \mathbf{e}_1 + \mathbf{e}_2 = \bar{\mathbf{e}}$ . This has weight in  $[0, 2t]$ .
- If  $\text{wt}(\bar{\mathbf{e}}) = 2t$ :

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All zero positions in  $\bar{\mathbf{e}}$  are error free in both ciphertexts.  
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- If  $\text{wt}(\bar{\mathbf{e}}) = 2t$ :  
All zero positions in  $\bar{\mathbf{e}}$  are error free in both ciphertexts.  
Invert  $G'$  in those columns to recover  $\mathbf{m}$  as in previous attack.
- Else: ignore the  $2w = \text{wt}(\bar{\mathbf{e}}) < 2t$  positions in  $G'$  and  $\mathbf{y}_1$ .  
Solve decoding problem for  $k \times (n - 2w)$  generator matrix  $G''$  and vector  $\mathbf{y}'_1$  with  $t - w$  errors; typically much easier.

# Formal security notions

- McEliece/Niederreiter are One-Way Encryption (OWE) schemes.
- However, the schemes as presented are not CCA-II secure:
  - Given challenge  $\mathbf{y} = \mathbf{m}G' + \mathbf{e}$ , Eve can ask for decryptions of anything but  $\mathbf{y}$ .

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  - This is different from challenge  $\mathbf{y}$ , so Bob answers.
  - Answer is  $\mathbf{m} + \bar{\mathbf{m}}$ .
- Fix by using CCA2 transformation (e.g. Fujisaki-Okamoto transform) or (easier) KEM/DEM version:  
pick random  $\mathbf{e}$  of weight  $t$ , use  $\text{hash}(\mathbf{e})$  as secret key to encrypt and authenticate (for McEliece or Niederreiter).